Form 210A (10/06)

# United States Bankruptcy Court Southern District of `New York

in re:

Lehman Brothers Holdings Inc.,

Jointly Administered Under Case No. 08-13555

#### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or **deemed filed** under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to **Rule 3001(e)(2)**, Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

| Name of Transferee: Fair Harbor Capital, LLC As assignee of De Jong, J. De           | Name of Transferor: De Jong, J. De   |
|--|--|
| Name and Address where notices to transferee should be sent:                         | Court Claim # (if known): 49140<br>Amount of Claim: \$49,529.00<br>Date Claim Filed: |
| Fair Harbor Capital. LLC Ansonia Finance Station                                     | Name and Address of Transferor:  |
| PO Box 237037<br>New York, NY 10023  | De Jong, J. De<br>Varenkamp 13,<br>Barneveld, NETHERLANDS                            |
| Phone: 212 967 4035  Last Four Digits of Acet #: n/a                                 | Phone: Last Four Digits of Acct. #:n/a   |
| Name and Address where transferee payments should be sent (if different from above): | •  |
| Phone: n/a<br>Last Four Digits of Acct #: n/a  |  |
| I declare under penalty of perjury that the information pro                          | ovided in this notice is true and correct to the                                     |

best of my knowledge and belief.

By: Is/Fredric Glass Date: October 25, 2011

Transferee/Transferee's Agent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

## United States Bankruptcy Court Southern District of New York

In re:

Lehman Brothers Holdings Inc., Jointly Administered Under Case No. 08-13555

#### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

Claim No. 49140 (if known)

was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on October 25, 2011.

Name of Transferee:
Fair Harbor Capital, LLC
As assignee of De Jong, J. De

Name of Alleged Transferor: **De Jong, J. De** 

Fair Harbor Capital. LLC Ausonia Finance Station PO Box 237037 New York, NY 1002.3

Name and Address of Allèged Transferor:

> De Jong, J. De Varenkamp 13, Barneveld, NETHERLANDS

#### ~DEADLINE TO OBJECT TO TRANSFER~

| The transferor of claim named above is advised the this Notice of Transfer of Claim Other than for Security has been         |
|--|
| filed in the clerk's office of this court as evidence of the transfer. Objection must be filed with the court within twenty  |
| (20) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted |
| as the original claimant without further order of the court.   |

| Date: |                    |
|-------|--------------------|
|       | Clerk of the Court |

| United 5  | States Bankruptcy Court, Southern District Of New Yor   | ·k  |   |   |
|---|---|---|---|---|
| In re:  | LEHMAN BROTHERS HOLDING, INC., et al., :  | Chapter 11<br>Case No. 08-13555 (JM   | P)  |   |
|   | Debtor. :   | (Jointly Administered)  | SEL NI hill of pl   | posed                                   |
|   |   | R THAN FOR SECURITY AND WAIVE<br>Benkriptey Rule 3000(c)  | er of notice albuild claim  | . AMMAR.                                |
| (the "Cla<br>all claim<br>may be a<br>to the Cla<br>benefits    | TAKE NOTICE that the Allowed Claim Amount of Claim aim") of <b>DE JONG, J.DE</b> ("Transferor") against the Debto is (including without limitation the Proof of Claim, if any, is satisfied to receive on account of the assumption of any exectain and all other claims, causes of action against the Debto arising from, under or reluting to any of the foregoing, and a fon of the Cluim) of Transferor have been transferred and as  | s Based on Structured Securities Issued or r(s) in the amount of S de lentified below and Transferor's rights to reutory contract or lease related to the Claim r, its affiliates, any guarantor or other third all cash, securities, instruments and other pr  |   | ), and<br>s that it<br>espect<br>is and |
|   |   | ed amount of the Claim.   |   |   |
| the Clair<br>deemed   | ature of the Transferee on this TRANSFER OF CLAIM OT<br>in and all rights and benefits of Transferor relating to the Claim absolute and unconditional transfer of the Claim for the part of the Claim for | aim. The Claim is based on amounts nwed<br>ourpose of collection and shall not be deem  | to Transferor by Debtor and this transfer s<br>ed to create a security Interest. Please not   | shall be<br>e that                      |
| forth in a<br>satisfied<br>objected<br>offsets o<br>to impair   | dersigned Transferor of the above-described claims, hereby cover letter received. I represent and warrant that the Claim end is a valid claim. Upon notification by Transferee, I ago to, reclassified, or disallowed in whole or part by the Debter defenses or preferential payments that have been or may be its value.  | ia not less than the amount listed above and<br>see to reimburse Transferee a pro-rata portion,<br>the Court, or any other party and Transfe<br>a asserted by or on behalf of Debtor or any   | d hes not been previously objected to, sold<br>on of the purchase price if the claim is red<br>for represents and warrants that there are<br>other party to reduce the amount of the Cl   | l, or<br>luced,<br>no<br>laim or        |
| is attache<br>of that P.<br>In the ev<br>Transfer<br>Claim an   | of Claim Has in the amount of \$\frac{1}{2} Has not (strike ed to this Assignment). If the Proof of Claim amount differs roof of Claim subject to the terms of this Agreement and she ent the Claim is ultimately allowed in an amount in excess of the Claim is ultimately allowed in an amount in excess of the Claim is ultimately allowed in an amount in excess of the Claim is ultimately allowed in an amount in excess of the Claim is ultimately allowed in an amount in excess of the Claim is ultimately allowed in an amount in excess of the Claim is ultimately allowed in an amount in excess of the Claim is ultimately allowed in an amount in excess of the Claim subject to any objection by the Debtor.   | all be entitled to identify itself as owner of a<br>of the amount purchased herein, Transferor<br>valance of said Claim at the same percentag   | ensferce shall nevertheless be deemed the<br>auch Proof of Claim on the records of the<br>is hereby deemed to sell to Transferce, an<br>se of claim paid herein not to exceed twice   | owner<br>Court.<br>d, at<br>: the       |
| ("FRBP" Claim be Transfer obligatio and here acknowle represent | dersigned Transferor hereby authorize Transferee to file a number of the Claim, while Transferee performs its suck to Transferor if due diligence is not satisfactory, in Transfer transfers the Claim back to Transferor or withdraws the transfers the Claim back to Transferor or withdraws the transfer is the Claim back to Transferor or withdraws the transfer is the Claim to raise any objection hereto, and (ii) edges that Transferor may at any time reassign the Claim, to tation and warranties made herein shall survive the execution.  | due diligence on the Claim. Transferce, at it<br>sferce's sole and absolute discretion pursua<br>ransfer, at such time both Transferor and T<br>or hereby acknowledges and consents to all<br>its right to receive notice pursuant to Rule 3<br>ogether with all right, title and interest of The<br>u and delivery of this Transfer of Claim and | ts sole option, may subsequently transfer I ant to Rule 3001 (e) of the FRBP. In the e ransferee release each other of all and any of the terms set forth in this Transfer of 0 3001 (e) of the FRBP. Transferor hereby ransferee in and to this Transfer of Claim. d any such re-nasignment. | the<br>vent<br>Claim                    |
| LLC any   | an stated above, Transferce assumes all risks associated wit<br>recrespondence or payments received subsequent to the da<br>regarding the claim of the Transferor to that of the Transfero  | te Transferee signs this agreement. The cle   | teror agrees to deliver to Fair Harbor Cap  | ital,                                   |
| Assignm<br>jurisdicti   | nsfer of Claim shall be governed by and construed in accord<br>ent of Cinim may be brought in any State or Federal court k<br>ion over Transferor by such court or courts and agrees that s<br>ess aet forth in this Assignment of Claim, and in any action l   | ocated in the State of Nuw York, and Trans<br>ervice of process may be upon Transferor b  | feror consents to and confers personal<br>by mailing a copy of said process to Trans.   |   |
| TRANSI  | FEROR:  |   | NSFEREE:  |   |
| DE JON  |   |   | Harbor Capital, LLC   |   |
|   | KAMP 13, BARNEVELD,,<br>IERLANDS 4  |   | 1 Broadway, Suite 1007<br>V York, MY 10023  |   |
| Print Na  | me: J. ile 105 Title: Ohk.  | _   |   | _                                       |
| Signatur  | Date: 24 10 11  | - Sign  | latifre:  |   |
| Updated<br>Phone:   | Address (If Chenged): \\4/12 hamp. (3, Bur N2X) 6342-412 lby Fax: 0 342 419 440   | - Cire  | ric Gless, Member Fair Harber Capital   | TC.                                     |
|   | Westeneng Accountants   | J&  | for Knoy  | . 7                                     |

en Adviseurs
Postbus 307
3770 AH BARNEVELD
Tel. 0342-412809 Fax 0342-419440
Beconummer 124230

08-13555-mg Doc 21236 Filed 10/25/11 Entered 10/25/11 15:09:39 Main Document Pg 4 of 6 7 A 22/28

UNITED STATES BANKKUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

In re 2 Novige post

Chapter 11 Case No.

LEHMAN BROTHERS HOLDINGS INC., et al.,

08-13555 (JMP)

Debtors.

(Jointly Administered)

LBH LENNTC 08-22-2011 (MERGE2,TXNUM2) 4000095610 BAR(21) MAIL ID \*\*\* 000051151081 \*\*\* \*\*\* BSIUSE: 1(398

DE JONG, LDE VARENKAMP 13 BARNEVELD NETHERLANDS

## THIS IS A NOTICE REGARDING YOUR CLAIM(S). YOU MUST READ IT AND TAKE ACTION IF YOU DISAGREE WITH THE PROPOSED ALLOWED CLAIM AMOUNT.

#### NOTICE OF PROPOSED ALLOWED CLAIM AMOUNT

| Creditor Name and Address:  DE JONG, J.DE  VARENKAMP 13  BARNEVELD  NETHERLANDS | Claim Number | Proposed Allowed Claim Amount |
|---|--------------|-------------------------------|
|   | 49140        | \$50,799.47                   |
|   |              |                               |

PLEASE TAKE NOTICE that, on August 10, 2011, the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court") entered the Order Approving the Procedures for Determining the Allowed Amount of Claims Filed Based on Structured Securities Issued or Guaranteed by Lehman Brothers Holdings Inc. [Docket No. 19120] (the "Order") which provides for procedures for the determination of the allowed amount of the portion of the claim referenced above (the "Claim") that is based on a structured security for purposes of voting and distributions under the debtors' (the "Debtors") proposed chapter 11 plan (the "Plan") in the above-referenced case.

Pursuant to the procedures approved in the Order, Lehman Brothers Holdings Inc. ("LBHI") proposes that the allowed amount of the portion of the Claim that is based on a structured security shall be the amount set forth above under the heading "PROPOSED ALLOWED CLAIM AMOUNT." The Dehtors calculated the Proposed Allowed Claim Amount in accordance with the Structured Securitics Valuation Methodologies, a copy of which is available for review on <a href="https://www.lehman-docket.com">www.lehman-docket.com</a>, and is also attached to the motion [Docket No. 18127] (the "Motion") related to the Order. A detailed calculation of the Proposed Allowed Claim Amount in accordance with the Structured Securities Valuation Methodologies is included on Exhibit A annexed hereto. The Proposed Allowed Claim Amount does not have any affect on the portion of your claim that is not based on a structured security.

The Official Committee of Unsecured Creditors of LBHI and its affiliated debtors filed a statement relating to the Motion. The Statement of Official Committee of Unsecured Creditors In Response to

A list of the Debtors, along with the last four digits of each Debtor's federal tax identification number, is available on the Debtors' website at http://www.lehman-docket.com.

Debtors' Amended Motion Pursuant to Sections 105(a) and 502(b) of the Bankruptcy Code and Bank. Rule 9019 for Approval of Procedures For Determining the Allowed Amount of Claims Filed Base. Structured Securities Issued or Guaranteed by Lehman Brothers Holdings Inc, [Docket No. 19042] is available at www.lehman-docket.com on the "Case Information" page.

If you do NOT dispute or disagree with the Proposed Allowed Claim Amount for your Claim, then you do NOT need to file a written response and your claim will be allowed in such amount for the purposes of voting and distributions under the Plan.

If you DO dispute or disagree with the Proposed Allowed Claim Amount for your Claim, then you MUST deliver a written response (a "Response") so that such Response is actually received no later than 4:00 p.m. October 25, 2011 (the "Response Deadline") by (i) Lehman Brothers Holdings Inc., 1271 Avenue of the Americas, New York, NY 10020 (Attn: Holly Clack and Tina Pederson), (ii) Weil Gotshal & Manges LLP, 767 Fifth Avenue, New York, New York 10153 (Attn: Alfredo R. Perez, Esq. and Mark Bernstein, Esq.) and (iii) Milbank, Tweed, Hadley and McCloy LLP, 1 Chase Manhattan Plaza, New York, New York 10005 (Attn: Evan R. Fleck, Esq. and Matthew Brod, Esq.).

Your Response, if any, must contain at a minimum the following: (i) the name of the claimant; (ii) the claim number that is the subject of the Response; (iii) a concise statement setting forth the grounds for such Response; (iv) the address(es) to which LBHI must return any reply to your Response, if different from that presented in the proof of claim; and (v) the name, address, and telephone number of the person (which may be you or your legal representative) possessing ultimate authority to reconcile, settle, or otherwise resolve the claim on your behalf.

IF YOU DO NOT DELIVER A RESPONSE BY THE RESPONSE DEADLINE, YOU WILL BE DEEMED TO HAVE CONSENTED TO THE PORTION OF YOUR CLAIM BASED ON A STRUCTURED SECURITY BEING ALLOWED FOR PURPOSES OF VOTING AND DISTRIBUTIONS UNDER THE PLAN IN THE PROPOSED ALLOWED CLAIM AMOUNT. THE MOTION DOES NOT HAVE ANY AFFECT ON THE PORTION OF YOUR CLAIM THAT IS NOT BASED ON A STRUCTURED SECURITY.

IF YOU SUBMIT A RESPONSE AND THE DEBTORS AND YOU ARE UNABLE TO RESOLVE ANY DISPUTES REGARDING THE PROPOSED ALLOWED CLAIM AMOUNT, THE MOTION WILL BE DEEMED AN OBJECTION TO YOUR CLAIM. THE DEBTORS MAY SEEK TO HAVE SUCH OBJECTION TO YOUR CLAIM RESOLVED EITHER BY THE COURT OR THROUGH THE COURT-APPROVED MEDIATION PROCEDURES.

CLAIMANTS SHOULD NOT CONTACT THE CLERK OF THE BANKRUPTCY COURT TO DISCUSS THE MERITS OF THEIR CLAIMS.

DATED:

August 24, 2011

New York, New York,

### Exhibit A

Claim # 49140 DE JONG, J.DE

## Calculation of Proposed Allowed Claim Amount

| A   | В                  | C   | D   | <u>e</u>  |  | G  |
|---|--------------------|---|---|---|--|--|
| structured<br>Security, by<br>ISIN                                  | Blocking<br>Number | Maximum<br>Alinwable<br>Amount <sup>2</sup> | Percentage of Notional Amount for which Blocking Numbers were Issued by Clearing Ageneies | Aggregate Amount Distributable to Claims Based on Relevant ISIN (Equals the Product of C x D with slight differences due to rounding) | Claimant's Percentage of Notional Amount for Which Blocking Numbers were Issued by Clearing Agencies | PROPOSED ALLOWED CLAIM AMOUNT (Equals the Product of Ex F with slight differences due to rounding) |
| XS0218304458  | 6048816            | \$146,983,173.33                            | 91.1533%  | \$133,979,961.59  | 0,0379%  | \$50,799.47  |
| Aggregate Proposed Allowed Claim Amount:                            |                    |   |   |   | \$50,799.47  |  |
| Claim Amount, as filed (portion based on Structured Security only): |                    |   |   | \$49,529.00   |  |  |

Lights: bedy with a my deal.

<sup>&</sup>lt;sup>2</sup> Calculated in accordance with the Structured Securities Valuation Methodologies.